



## Investment Fund - Subscription Form 投资基金 – 认购表格

请将已填妥的表格传真至(852) 2820 0606、邮寄至香港中环皇后大道中 181 号新纪元广场低座九楼交收部或交回中环总部。Please return your duly signed written form by fax to (852) 2820 0606, or by mail to Settlement Department, 9/F, Low Block, Grand Millennium Plaza, 181 Queen's Road Central, Hong Kong, or by visiting the Headquarters.

### Client Details 客户资料

Client Name: 客户姓名:	CSL* Account No.: 时富*帐户号码:	Contact No. : 联络电话:
Representative: 营业代表:	Representative Code: 营业代表编号:	Ext: 内线:

\* Celestial Securities Limited \*时富证券有限公司

### Detail of Instruction 指示内容

#Please tick the appropriate box

Please subscribe the fund(s) below on my/our behalf: 请代本人/吾等认购下面所列的基金:

请在适当方格内填上“√”号

	Fund Name 基金名称	Investment Currency 投资货币	Investment Amount 投资金额	Plus Subscription Fee 另加认购费用 ——%	Distribution Election 收益分配选择	
					*Cash Dividend *现金派息	*Re- Invest *再投资
<input type="checkbox"/>	SWS Strategic Investment Funds – Shenyin Wanguo China Policy Focus Fund (Class A) 申银万国策略投资基金 – 申银万国中国国策焦点基金 (A类)	HKD 港元			<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	SWS Strategic Investment Funds – Shenyin Wanguo RMB Mainland Investment Fund (Class A) 申银万国策略投资基金 – 申万人民币投资基金 (A类)	RMB 人民币			<input type="checkbox"/>	<input type="checkbox"/>

\*The fees payable in relation to or incidental to this investment (if any), including the service fee payable to financial adviser, will be deducted from redemption proceeds of the Fund(s).

\*所有涉及投资的相关费用(包括财务顾问的服务费)会在该基金赎回时扣除。

\* All the subscription order will be submitted as a qualified application before 10:15 a.m. The final price of the Fund(s) and the dealing date will be subjected to the confirmation from the Fund House.

\* 所有于上午 10:15 前递交之认购表格将视为合资格之申请。基金之最后价格及交易生效日期以基金公司之确认为准。

### Declaration 声明

I/we \_\_\_\_\_ hereby authorize Celestial Securities Limited (hereinafter as “CSL”) to do, on my/our behalf and in my/our names(s), the acts and things relating to my/our account(s) opened with CSL specified on this instruction form.

I/we declare that the investment decision is made by me/us and I/we have read and understand the Explanatory Memorandum (“EM”) and Product Key Facts Statement (“KFS”) of the relevant Fund(s), as amended, supplemented or replaced from time to time, and if applicable, the latest financial reports of the relevant Fund(s) I/we elected to subscribe for above and hereby agree to be bound by the terms and conditions contained therein. I/we have consulted Independent/Professional Advisors for further details and other risk factors before making any investment decision as I/we deem necessary. I/we agree and acknowledge that the value of the investment(s) may go up and down and by instructing CSL to make investments on my/our behalf, I/we am/are prepared to accept and am/are responsible solely for this risk and CSL shall be discharged from the liability whatsoever to me/us for any losses I/we may suffer as a result of the investment performance. In any transactions involving foreign currency conversion, I/we agree to adhere to the foreign exchange rates to be determined by CSL and/or its counter-parties.

I/we declare that I/we have examined the information provided by me/us on this form and confirm that they are correct and complete. I/we fully authorize CSL to carry out the instructions specified on this form and I/we agree to indemnify CSL for any losses, damages, costs, expenses or claims which CSL may suffer arising from CSL’s reliance on any of the information I/we have given.

I hereby acknowledge that where CSL is an Authorised Distributor distributing units in the relevant Fund(s) to me/us, I/we have read and understand the disclosures set out below prior to or at the point of my/our execution of this subscription:

- CSL, acting as an Authorised Distributor in the distribution of the Class A Units in the relevant Fund(s), is entitled to receive an initial charge of up to 5% of the Subscription Price of each Unit in respect of Class A Units of the relevant Fund(s) and has discretion to waive the initial charge in whole or in part in relation to any application for Class A Units whether generally or in a particular case.
- CSL is entitled to receive a distribution fee of up to 50% of the management fee in respect of Class A Units of the relevant Fund(s).

本人/吾等 \_\_\_\_\_ 谨此授权时富证券有限公司(下称“时富”)代表本人/吾等及以本人/吾等之名义就本人/吾等之时富账户执行于本指示列明之行为及事项。

本人/吾等声明此乃本人之投资决定。本人/吾等已详读并明白所选择认购的相关基金不时修订、补充及替代之注释备忘录(“备忘录”)、产品数据概要(“产品概要”)和(如适用)最新财务报告,并特此同意受当中所载之条款及细则所约束。本人/吾等已就本人/吾等认为有需要之进一步数据及其他风险因素征询独立/专业意见。本人/吾等同意及确认投资之价值可升可跌。指示时富代本人/吾等作出投资,本人/吾等接受及将独自承担此风险,时富将不就任何因投资表现而令本人/吾等蒙受之损失负责。有关任何涉及外币兑换之交易,本人/吾等接受时富与其另一方决定之外币兑换率。

本人/吾等声明本人/吾等已检阅本人/吾等于本指示提供之数据及确定其为正确及完整。本人/吾等完全授权时富执行本表格内之指示。本人/吾等同意就所有时富因依赖本人/吾等提供之数据而蒙受之损失、损害、成本、费用或申索作出赔偿。

本人/吾等确认知悉时富是相关基金的特许分销商。在签立此认购表格之时或之前,本人/吾等已详读并明白以下所载的披露:

- 时富作为分销相关基金A类单位的特许分销商,可以对A类单位收取最高达每单位认购价格5%之认购费用,并可酌情决定免除全部或部份认购费用,无论是对所有或对个别情况而言。
- 时富可以对A类单位收取最高达管理费50%的分销费。

Client/ Representative Signature:

客户/营业代表签署: \_\_\_\_\_

Date:

日期: \_\_\_\_\_

Internal Use Only			
Account Balance	Handled by	Approved by	Received Date