



8th February 2022

Dear Valued Client,

Re: Consent to Processing of Personal Data as Part of the Hong Kong Investor Identification Regime (HKIDR), Over-the-counter Securities Transactions Reporting Regime (OTCR) and Stock Connect Northbound Trading

The HKIDR is expected to be launched in the second half of 2022 and the OTCR in the first half of 2023, according to the announcements from the Stock Exchange of Hong Kong (SEHK) and the Securities and Futures Commission (SFC).

The HKIDR and OTCR, together with the investor identification regime for Northbound trading implemented in 2018, entail the collection and use of personal data by the SEHK and/or the SFC for complying with relevant rules and regulatory requirements. Please complete and sign the attached Consent Form and return it to us by 30 June 2022, for us to continue to provide the aforementioned securities services for you. You can mail the completed form to Client Services Department, 22/F, Manhattan Place, 23 Wang Tai Road, Kowloon Bay, Hong Kong, or bring it to the Service Centre of CASH Financial Services Group.

Should you have any queries, please do not hesitate to contact your Account Executive or our Client Services Department at (852) 2663-8888 or email hotline@cfsg.com.hk.

Your support is greatly appreciated. Thank you again for choosing Celestial Securities.

Best regards,

Celestial Securities Limited

尊貴的客户：

關於：同意處理客戶個人資料以用作香港投資者識別碼制度、場外證券交易匯報制度及滬深港通之北向交易

根據香港聯合交易所（聯交所）和證券及期貨事務監察委員會（證監會）的公告，預計香港投資者識別碼制度將於 2022 年下半年推出，場外證券交易匯報制度將於 2023 年上半年推出。

香港投資者識別碼制度和場外證券交易匯報制度，連同 2018 年實施的北向交易投資者身份識別制度，要求聯交所和/或證監會收集和使用投資者個人資料，以遵守相關規則和監管要求。如閣下希望在本公司繼續使用上述證券服務，敬請填寫和簽署隨附的同意書，並在二零二二年六月三十日之前郵寄至香港九龍灣宏泰道 23 號 Manhattan Place 22 樓客戶服務部，或交回時富金融服務集團服務中心。

如有任何查詢，歡迎與閣下之本公司營業代表聯絡，或致電(852) 2663-8888 或電郵 hotline@cfsg.com.hk 與客戶服務主任聯絡。

再次感謝閣下的支持與合作。我們竭誠為閣下服務。

此致

時富證券有限公司
二零二二年二月八日