



Using Professional Trading Platform and/or API Connection ("The Service") 使用專業版交易系統及／或應用程序接口連接〔該服務〕

To: Celestial Securities Limited ("CSL") / Celestial Commodities Limited ("CCL")

Please return your completed form by mail to **Customer Service Department, 9/F, Low Block, Grand Millennium Plaza, 181 Queen's Road Central, Hong Kong** or by visiting the Services Centres of CASH Financial Services Group. Your request will be processed within 2 working days upon our receipt of your form. For inquiries, please do not hesitate to contact us at **(852) 2663 8888**.

I hereby request to use the Service to operate my account(s).

Undertaking and Disclaimer

- I confirm I fully understand the operation of the Service and agree to review every instruction before entering it, and I hereby undertake that all information supplied or to be supplied by me in connection with any instructions were and would be true and correct and I agree to indemnify you on demand for any losses, damages, costs, expenses or claims, which you may suffer arising from your reliance on any of the information I have given through or in connection with the Service.
- I understand and undertake that I shall at all time maintain the required financial resources to be qualified for using the Service and shall maintain appropriate procedures to assure that all relevant persons are both familiar with and comply with the rules of the market and have knowledge of and proficiency in the use of the order entry system of the Service in order to avoid any potential of negatively impacting the market integrity.
- I instruct you to execute my instructions without further reference to me and I understand that a trade placed electronically may be executed before I can cancel or change it.
- I understand that all order status shall be updated upon receipt of the confirmation from our counterbrokers.
- I understand that transactions undertaken on an electronic trading system exposes me to risks associated with the system including system failure and that electronic transmission may not be a reliable medium of communication. I understand and agree to bear any and all risks involved with all trades or transactions entered via the Internet and carried out through your trading account. I confirm that you shall not have any liability or responsibility for the execution of any orders or instructions transmitted through the Service, and you will not be responsible for any damages caused by the Service and you shall not be responsible for any regulatory or other reporting requirements arising from the Service.
- I shall be solely responsible for all transactions related activities, including but not limited to reviewing and completing orders and complying with all regulatory and other reporting requirements.
- I shall ensure that all the transmissions or receipts of trading related messages comply with all applicable laws, rules and regulations.
- I acknowledge that the information displayed on the Professional Trading Platform is for reference only and information on Combined Daily Statement and Combined Monthly Statement shall prevail in case of any discrepancy.
- I shall not reverse engineer, decompile, disassemble, or otherwise seek to duplicate the performance characteristics of the Service or any part thereof.
- I understand that you may terminate the Service at any time without any prior notice or reason and you shall not be liable for any losses or damages which I may suffer as a result of the termination.
- I understand and agree that I shall observe and comply with the trading limit imposed by you. I acknowledge and agree that if the trading limit is exceeded, you shall have the right to liquidate the position or close my account forthwith without further notice to me and you shall not be liable for any losses induced.
- I understand and undertake that I should have proper contingency measures in place covering situations where error trades occur, trading halts, market closures and data recovery which shall be established in your satisfaction.
- I confirm and understand that this Agreement governs the use of the Service and is supplemental to the Client Trading Master Document Terms and Conditions ("Terms & Conditions"), respective operation policy and procedures applicable to the operation of the Service which policy shall be binding and determined by the Company from time to time and will be posted at the website of the Company or its holding company.
- I have read and acknowledged that I understand the contents of the Risk Disclosure Statement on this page, and agree to accept the risks stated therein.

Risk Disclosure Statement

- If there is any unauthorized use of client's login password, client shall notify CSL/CCL immediately, and client agrees that he/she shall bear all risks in connection with any unauthorized use of his/her login password and client shall indemnify CSL/CCL on demand for any losses, costs, damages, expenses or claims which CSL/CCL may suffer as a result of any unauthorized use of client's login password.
- Information Services Providers, CSL/CCL, Hong Kong Exchanges and Clearing Limited and any company or organization from which information for the Service is sourced endeavor to ensure the accuracy and reliability of the information provided but do not guarantee its accuracy and accept no liability (whether in tort or contract or otherwise) for any loss or damage arising from any inaccuracies, omissions or system failures. The Service is principally designated for Hong Kong residents, and non-Hong Kong residents should ensure the legality in their jurisdictions before subscribing or using the Service provided by CSL or CCL.
- The Risk Disclosure Statement stated herein and the Terms & Conditions do not disclose all of the risks and other significant aspects of trading in futures and options. In light of the risks, client should undertake such transactions only if he/she understands the nature of the terms and conditions (and contractual relationships) into which he/she is entering and the extent of his/her exposure to risk.

Internet Risk

- Internet or any other electronic means are, due to unpredictable traffic congestion and other reasons, an inherently unreliable medium of communication and that such unreliability is beyond CSL/CCL's control. As a result of such unreliability, there may be delays in the transmission and receipt of instructions and other information and that this may result in delays in the execution of instructions and/or the execution of instructions at prices different from those prevailing at the time the instructions are given.
- It shall not usually be possible for client to cancel an instruction after it has been given.

Client Signature(s)	✕ Please use the specimen signature in the Company's record	Account No.	
Client Name		Date	day / month / year

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<input type="checkbox"/> Original <input type="checkbox"/> Face to face <input type="checkbox"/> Email provided	AE code	Password <input type="checkbox"/> Set <input type="checkbox"/> Mail	Account Change <input type="checkbox"/> _____ > PTP _____ <input type="checkbox"/> New PTP _____	For PN/MN/EN > PTP account <input type="checkbox"/> Fund transfer form <input type="checkbox"/> Stock transfer form
Handled by	Verified by	Input by	Checked by	Approved by



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致：時富證券有限公司（“時富證券”）／時富商品有限公司（“時富商品”）

請將已填妥的表格郵寄至香港中環皇后大道中 181 號新紀元廣場低座九樓客戶服務部或交回時富金融服務集團各服務中心。本公司將在收到填妥的表格後兩個工作天內處理您的申請。如欲查詢，請致電(852) 2663 8888 與客戶服務部聯絡。

本人現要求使用該服務操作本人之戶口。

承諾及免責聲明

- 本人確認本人已完全明白該服務之運作並同意在確定輸入每個交易指示前先作覆核。本人並承諾就任何指示而提供之一切資料均屬真實而正確。本人同意應要求賠償貴公司因仰賴本人就該服務所提供之任何資料而蒙受之任何損失、損害、成本、支出或索償。
- 本人明白及承諾將會在所有時間維持使用該服務所要求之財務狀況，並採取適當程序以確保所有有關人士清楚明白及遵守市場之規則，及具備使用該服務發出指示系統之認知，以避免影響市場之完整性。
- 本人指示貴公司執行本人所發出之指示而無需事先詢問本人。本人明白電子買賣盤一經發出，就可能在本人可取消或更改前已告執行。
- 本人明白所有下單狀況須待本公司接獲相對的交易對手確實通知後方作更新。
- 本人明白透過電子交易系統進行買賣，須承受該系統帶來的風險，包括有關系統可能會失靈的風險。因此，電子系統是不可完全倚賴之通訊媒介。本人明白並同意承擔任何及一切透過互聯網並經貴公司之交易戶口進行之買賣或交易之風險。本人確認貴公司無須承擔任何透過該服務執行之交易或指令之義務或責任，並確認貴公司無須就任何由該服務引致之損失，及因任何由該服務引起之監管或報導要求負責。
- 本人須為所有與交易有關之活動，包括但不限於覆核及完成交易及遵從所有監管及報導要求，負上所有責任。
- 本人須確定所有與交易相關之傳送及收據均遵從一切適用法律、條例及規例。
- 本人理解到專業版交易系統所提供之資料僅作參考之用，如有爭議，一切資料將以綜合日結單及綜合月結單為準。
- 本人不可對該服務或其任何部分進行還原工程、解碼、反向組譯、或複製該服務或其任何部分之性能特性。
- 本人明白貴公司可在無須事前通知本人的情況下隨時終止該服務。貴公司毋須就因終止該服務而令本人蒙受之損失或損害負責。
- 本人明白及同意本人須遵守貴公司訂立之交易限制。本人確認並同意一旦超出交易限制，貴公司有權在毋須通知本人的情況下執行平倉行動並立即取消本人之戶口。貴公司毋須就因此而引致之損失負責。
- 本人明白及承諾本人有適當之緊急應變措施以處理錯誤交易、暫停交易、市場休市及數據復原的情況。該等措施應合乎貴公司之要求。
- 本人確定及同意本協議監管該服務之使用，並補充客戶交易主要文件-條款及條件（“條款及條件”），及適用於該服務運作之相關營運政策及程序。有關文件為有約束性並由貴公司不時明訂，及會不時刊登在貴公司或貴公司之控股公司之網站。
- 本人已細閱並確認明白此「風險披露聲明」，並同意承擔下文列明之風險。

風險披露聲明

- 如客戶之登入密碼未經授權而被使用，客戶須立即通知時富證券／時富商品。客戶同意承擔所有與未經授權使用客戶之登入密碼有關之風險，並賠償時富證券／時富商品因客戶之登入密碼未經授權而被使用，而遭受之任何損失、成本、損害、支出或索償。
- 報價服務公司、時富證券／時富商品、香港交易及結算所有限公司及其他公司或機構將盡力確保該服務之資料之準確性及可靠性，但不能擔保其準確性及不會對就任何因資料不準確、遺漏或系統故障而引致之損失或損害負責（無論為侵權或合約或其他）。該服務是主要針對香港居民而設計，而非香港居民須在使用由時富證券／時富商品提供之該服務前，確定在其司法權管轄範圍內之合法性。
- 此頁所載之風險披露聲明及條款及條件並不涵蓋買賣期貨及期權的所有風險及其他重要事宜。就風險而言，客戶在進行任何交易前，應先瞭解有關條款及條件之內容（及有關的合約關係）和客戶就此須承擔的風險程度。

互聯網風險聲明

- 互聯網或任何其他電子媒介會有不能預期或非時富證券／時富商品控制範圍之內之任何其他原因所造成之網絡故障，是不可完全倚賴之通訊媒介。因此可能會造成傳送及收取指示之延誤及／或使執行指令之成交價格與發送該指示時之價格有所不同。
- 電子買賣盤一經發出，就可能在客戶可取消前已告執行。

客戶簽署	×	戶口號碼	
	請用留存本公司之印鑑式樣		
客戶名稱		日期	日／月／年

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